

**SEARCH REQUEST FORM**  
Scientific and Technical Information Center

Requester's Full Name: P. Laufer Examiner# 73139 Date: 7/15/02  
 Art Unit: 2100 Phone Number: 306 4160 Serial Number: 10/006, 939  
 Mail Box and Bldg/Rm Location: \_\_\_\_\_ Results Format Preferred (circle): Paper Disk E-mail

If more than one search is submitted, please prioritize searches in order of need.

Please provide a detailed statement of the search topic, and describe as specifically as possible the subject matter to be searched. Include the elected species or structures, keywords, synonyms, acronyms, and registry numbers, and combine with the concept or utility of the invention. Define any terms that may have a special meaning. Give examples or relevant citations, authors, etc., if known. Please attach a copy of the cover sheet, pertinent claims, and abstract.

Title of Invention: \_\_\_\_\_

Inventors (please provide full names): \_\_\_\_\_

Earliest Priority Filing Date: \_\_\_\_\_

\*For Sequence Searches Only\* Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the appropriate serial number.

*Litigation  
5,996,036*

***** STAFF USE ONLY		Type of search	Vendors and cost where applicable
Searcher: <u>S Green</u>	Searcher Phone: <u>6-4767</u>	NA Sequence (#) _____	STN _____
Searcher Location: <u>4B40</u>	Date Searcher Picked Up: <u>7-15-02</u>	AA Sequence (#) _____	Dialog _____
Date Completed: <u>7-15-02</u>	Searcher Prep & Review Time: <u>2</u>	Structure (#) _____	Questel/Orbit <u>23.82</u>
Clerical Prep Time: _____	Online Time: <u>8</u>	Bibliographic _____	Dr. Link _____
		Litigation <input checked="" type="checkbox"/>	Lexis/Nexis _____
		Full Text _____	Sequence System _____
		Patent Family _____	WWW/Internet _____
		Other _____	Other (specify) _____

1 OF 1 DOCUMENT

UNITED STATES PATENT AND TRADEMARK OFFICE GRANTED PATENT

5996036

November 30, 1999

Bus transaction reordering in a computer system having  
unordered slaves

REISSUE: November 30, 2001 - Reissue Application filed Ex. Gp.: 2181; Re. S.N.  
10/006,939 June 18, 2002

INVENTOR: Kelly, James D., Aptos, CA

APPL-NO: 08779632

FILED-DATE: January 7, 1997

GRANTED-DATE: November 30, 1999

ASSIGNEE-AT-ISSUE: Apple Computers, Inc., Cupertino, CA

ASSIGNEE-AFTER-ISSUE: April 2, 1999 - ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE  
DOCUMENT FOR DETAILS), APPLE COMPUTER, INC. ONE INFINITE LOOP CUPERTINO,  
CALIFORNIA 95014, Reel and Frame Number: 009859/0365

LEGAL-REP: Burns, Doane, Swecker & Mathis, L.L.P.

US-MAIN-CL: 710#110

IPC-MAIN-CL: G 06F009#46

IPC ADDL CL: G 06F013#36, G 11C007#0

SEARCH-FLD: 710##110 , 710##107 , 710##263 , 710##41 , 710##52 , 711##151 ,  
709##100-102 , 709##208

PRIM-EXMR: Ray, Gopal C.

CORE TERMS: bus, bridge, master, slave, queue, deadlock, arbiter, memory, bit,  
tenure ...

**LEXIS-NEXIS**  
**Library: PATENT**  
**File: ALL**

**ENGLISH-ABST:**

A mechanism is provided for reordering bus transactions to increase bus utilization in a computer system in which a split- transaction bus is bridged to a single-envelope bus. In one embodiment, both masters and slaves are ordered, simplifying implementation. In another embodiment, the system is more loosely coupled with only masters being ordered. Greater bus utilization is thereby achieved. To avoid deadlock, transactions begun on the split-transaction bus are monitored. When a combination of transactions would, if a predetermined further transaction were to begin, result in deadlock, this condition is detected. In the more tightly coupled system, the predetermined further transaction, if it is requested, is refused, thereby avoiding deadlock. In the more loosely- coupled system, the flexibility afforded by unordered slaves is taken advantage of to, in the typical case, reorder the transactions and avoid deadlock without killing any transaction. Where a data dependency exists that would prevent such reordering, the further transaction is killed as in the more tightly-coupled embodiment. Data dependencies are detected in accordance with address-coincidence signals generated by slave devices on a cache-line basis. In accordance with a further optimization, at least one slave device (e.g., DRAM) generates page-coincidence bits. When two transactions to the slave device are to the same address page, the transactions are reordered if necessary to ensure that they are executed one after another without any intervening transaction. Latency of the slave is thereby reduced.

**No Documents Found**

No documents were found for your search (5996036 or 5,996,036).  
Please edit your search and try again. You may want to try one or  
more of the following:

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- Remove some search terms.
- Use more common search terms.
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**LEXIS-NEXIS**  
**Library: PATENT**  
**File: CASES**

**No Documents Found**

No documents were found for your search (**5996036 or 5,996,036**).  
Please edit your search and try again. You may want to try one or  
more of the following:

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**LEXIS-NEXIS**  
**Library: PATENT**  
**File: JNLS**

fam us5996036/pn

1 Patent Groups  
\*\* SS 2: Results 1

Search statement 3

?famstate nonstop

1/1 INPADOC - (C) INPADOC  
PN - US 5996036 A 19991130 [US5996036]  
TI - BUS TRANSACTION REORDERING IN A COMPUTER SYSTEM HAVING UNORDERED SLAVES  
IN - KELLY JAMES D [US]  
PA - APPLE COMPUTERS INC [US]  
AP - US 779632/97-A 19970107 [1997US-0779632]  
PR - US 779632/97-A 19970107 [1997US-0779632]  
IC - G06F-009/46; G06F-013/36; G11C-007/00

1/1 LEGALI - (C) LEGSTAT  
PN - US 5996036 [US5996036]  
AP - US 779632/97 19970107 [1997US-0779632]  
DT - US-P  
ACTE- 19970107 US/AE-A  
APPLICATION DATA (PATENT)  
{US 779632/97 19970107 [1997US-0779632]}  
- 19991130 US/A  
PATENT  
- 20020618 US/RF  
REISSUE APPLICATION FILED  
20011130  
UP - 2002-26

Search statement 3

us5996036/pn

\*\* SS 2: Results 1

Search statement 3

?prt full nonstop legalall

1/1 PLUSPAT - (C) QUESTEL-ORBIT  
PN - US5996036 A 19991130 [US5996036]  
TI - (A) Bus transaction reordering in a computer system having unordered slaves  
PA - (A) APPLE COMPUTERS INC (US)  
IN - (A) KELLY JAMES D (US)  
AP - US77963297 19970107 [1997US-0779632]  
PR - US77963297 19970107 [1997US-0779632]  
IC - (A) G06F-009/46 G06F-013/36 G11C-007/00  
EC - G06F-013/40D1R  
PCL - ORIGINAL (O) : 710110000; CROSS-REFERENCE (X) : 709208000 710107000  
DT - Basic  
CT - US4181974; US4473880; US4965716; US5006982; US5191649; US5257356;  
US5287477; US5327538; US5345562; US5375215; US5473762; US5592631;  
US5682512; US5822772  
STG - (A) United States patent  
AB - A mechanism is provided for reordering bus transactions to increase bus utilization in a computer system in which a split-transaction bus is bridged to a single-envelope bus. In one embodiment, both masters and slaves are ordered, simplifying implementation. In another embodiment, the system is more loosely coupled with only masters being ordered. Greater bus utilization is thereby achieved. To avoid deadlock, transactions begun on the split-transaction bus are monitored. When a combination of transactions would, if a predetermined further transaction were to begin, result in deadlock, this condition is detected. In the more tightly coupled system, the predetermined further transaction, if it is requested, is refused, thereby avoiding deadlock. In the more loosely-coupled system, the flexibility afforded by unordered slaves is taken advantage of to, in the typical case, reorder the transactions and avoid deadlock without killing any transaction. Where a data dependency exists that would prevent such reordering, the further transaction is killed as in the more tightly-coupled embodiment. Data dependencies are detected in accordance with address-coincidence signals generated by slave devices on a cache-line basis. In accordance with a further optimization, at least one slave device (e.g., DRAM) generates page-coincidence bits.. When two transactions to the slave device are to the same address page, the transactions are reordered if necessary to ensure that they are executed one after another without any intervening transaction.  
Latency of the slave is thereby reduced.

1/1 LGST - (C) LEGSTAT  
PN - US 5996036 [US5996036]  
AP - US 779632/97 19970107 [1997US-0779632]  
DT - US-P  
ACT - 19970107 US/AE-A  
APPLICATION DATA (PATENT)  
{US 779632/97 19970107 [1997US-0779632]}  
- 19991130 US/A  
PATENT  
- 20020618 US/RF  
REISSUE APPLICATION FILED  
20011130  
UP - 2002-26

1/1 CRXX - (C) CLAIMS/RRX  
PN - 5,996,036 A 19991130 [US5996036]  
PA - Apple Computer Inc  
ACT - 20011130 REISSUE REQUESTED  
ISSUE DATE OF O.G.: 20020618  
REISSUE REQUEST NUMBER: 10/006939  
EXAMINATION GROUP RESPONSIBLE FOR REISSUEPROCESS: 2181

Reissue Patent Number:

1/1 PAST - (C) Thomson Derwent  
AN - 200225-001697  
PN - 5996036 A [US5996036]  
OG - 2002-06-18  
ACT - REISSUE APPLICATION FILED